



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Appl. No. : 10/689,503  
Applicant(s) : Donald A. Tomalia and Lajos Balogh  
Filed : October 9, 2003  
TC/A.U. : NA  
Examiner : NA  
Title : NANOCOMPOSITES OF DENDRITIC POLYMERS

Docket No. : 60802D  
Customer No. : 00109

I HEREBY CERTIFY THAT THIS CORRESPONDENCE IS BEING  
DEPOSITED WITH THE UNITED STATES POSTAL SERVICE AS  
FIRST CLASS MAIL WITH SUFFICIENT POSTAGE IN AN ENVELOPE  
ADDRESSED TO: ASSISTANT COMMISSIONER FOR PATENTS,  
WASHINGTON, DC 20231, ON:

Melissa A. Wagner

DATE OF DEPOSIT

January 9, 2004

PRINT OR TYPE NAME OF PERSON SIGNING CERTIFICATE

SIGNATURE OF PERSON SIGNING CERTIFICATE

DATE OF SIGNATURE

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

INFORMATION DISCLOSURE STATEMENT

Pursuant to Applicant's duty of disclosure under 37 CFR §1.56, the  
Examiner's attention is directed to the information identified in the attached Form  
PTO 1449.

The cited U.S. patents and patent application publications are listed on the  
PTO-1449. A copy of the cited foreign patent documents and non-patent literature are  
enclosed herewith. The Examiner is requested to review each reference and formulate  
his/her own understanding thereof.

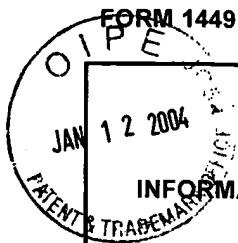
Respectfully submitted,

Karen L. Kimble

Registration No. 26,995

Phone: (989) 636-1687

P. O. Box 1967  
Midland, MI 48641-1967



# INFORMATION DISCLOSURE STATEMENT

(Use Several Sheets if necessary)

ATTY DOCKET NO.

60802D

SERIAL NO.

10/689,503

APPLICANT

Donald A. Tomalia and Lajos Balogh

FILING DATE

October 9, 2003

GROUP

NA

## U.S. PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUB- CLASS	FILING DATE IF APPROPRIATE
	4,587,329	5/1986	Donald A. Tomalia et al.	528	363	
	5,338,532	8/1994	Donald A. Tomalia et al.	424	1.49	
	5,376,690	12/1994	George R. Newkome et al.	521	53	
	5,422,379	6/1995	George R. Newkome et al.	521	53	

## FOREIGN PATENT DOCUMENTS

DOCUMENT NUMBER	PUBLICATION DATE	COUNTRY	CLASS	SUB- CLASS	TRANSLATION YES   NO
0 684 044	29 Nov 1995	Europe	A61K	47/48	

## OTHER DISCLOSURES (Including Author, Title, Date, Pertinent Pages, Place of Publication, Etc.)

	Balogh, Lajos et al. "A revolution of nanoscale proportions", American Chemical Society, Chemical Innovation March 2000, pages 19-26.
	Grohn, Franziska et al. "Dendrimer Templates for the Formation of Gold Nanoclusters", Macromolecules 2000, Vol. 33, pages 6042-6050.

EXAMINER

DATE CONSIDERED

\*EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include a copy of this form with next communication to Applicant.